



ATHARV ENTERPRISES LIMITED

Building No. D/27, Shop No.1, Yogi Nagar, Eksar, Borivali, Near Corporation Bank,
Mumbai - 400091

Email: atharventerprisesltd@gmail.com

CIN: L66110MH1990PLC391158

Date: 30-05-2026

To
Department of Corporate Services,
BSE Limited
PJ Towers, Dalal Street,
Mumbai – 400 001

Scrip Code: 530187

Scrip Symbol: ATHARVENT

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2026 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

Dear Sir/Madam,

Pursuant to Regulation 24A of the Listing Regulations, please find enclosed the Annual Secretarial Compliance Report for the year ended 31st March, 2026. This is for your information and records.

This will also be hosted on the Company's website, at www.atharventerprises.biz/home.

Thanking You

Yours Faithfully

For Atharv Enterprises Limited

Pramod Kumar Gadiya
Managing Director
DIN: 02258245

Date: 30th May, 2026

Place: Mumbai



**SECRETARIAL COMPLIANCE REPORT OF ATHARV ENTERPRISES LIMITED HAVING CIN:
L66110MH1990PLC391158 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2026**

[Pursuant to Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

I, **CS Nidhi Bajaj**, Practicing Company Secretary have examined:

- (a) All the documents and records made available to us and explanation provided by the listed entity.
- (b) The filings/submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2026 in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956, rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India;

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;





A/401, Kailash Mansarovar, Amritvani Lane, Near Maxus Mall, Bhayander (West), Thane - 401 101

- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
Not applicable during the period review.
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
Not applicable during the period review.
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
Not applicable during the period review.
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;
Not applicable during the period review.
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (j) Applicable Regulations and circulars/guidelines issued thereunder;

We hereby report that, during the Review Period

- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ Circulars/ guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of Action	Details of Violations	Fine Amount	Observation Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 27(2) on SEBI (LODR) Regulations, 2015	3 days delay on submission of Integrated Corporate Governance Report for quarter ended 31.12.2025	BSE Ltd	SOP Fine Levied	Non-submission of the Corporate governance compliance report within the period provided under this regulation	4,720/- (including GST)	The Company has Integrated CG Report with delay of 3 days	Company paid the SOP Fine of Rs. 4,720/-	Company paid the SOP Fine of Rs. 4,720/- and no other action taken





2.	Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 13(3) of SEBI (LODR) Regulations, 2015	3 days delay on submission of statement on shareholder complaints for quarter ended 31.12.2025	BSE Ltd	SOP Fine Levied	Non-submission of the statement on shareholder complaints within the period prescribed under this regulation or under any circular issued in respect of redressal of investor grievances	2,360/- (including GST)	The Company has submitted statement on shareholder complaints with delay of 3 days	Company paid the SOP Fine of Rs. 2,360/-	Company paid the SOP Fine of Rs. 2,360/- and no other action taken
----	--	---	--	---------	-----------------	--	-------------------------	--	--	--

b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/ Remarks Of the Practicing Company Secretary in the previous reports (PCS)	Observations made in the secretarial compliance report for the year ended (the years are to be mentioned)	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Details of violation / deviations and actions taken / penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
1.	The Company has received Notice – Stage 2 Reminder for Payment of Annual Listing Fees for FY 2024-25 and freezing of shareholding of promoter(s) of the Company.	31.03.2025	Regulation 14 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The company had partly paid Listing Fees of the company for 2024-25.	The Company has paid Annual Listing Fees for the FY -2024-25.	The Company has received Notice – Stage 2 Reminder for Payment of Annual Listing Fees for FY 2024-25 and freezing of shareholding of promoter(s) of the Company.





2.	All the non-compliances under this regulation 47 under SEBI LODR Regulations are time bound.	31.03.2025	Regulation 47 of SEBI LODR Regulations, 2015- Publication of advertisement in newspaper for Financial Results, Notice given to shareholders.	The company has not published advertisement in newspaper.	The Management of the Company assure that they will comply the Requirement of Reg. 47 of SEBI (LODR) Reg. 2015 for the upcoming period.	All the non-compliances under this regulation 47 under SEBI LODR Regulations are time bound.
3.	The company had not submitted Annual report in XBRL Mode of the company for the year ended March 31, 2024.	31.03.2025	Regulation 34 of SEBI (LODR) Regulations, 2015	The company had not submitted Annual report in XBRL Mode of the company for the year ended March 31, 2024.	Due to some technical issue the company is unable to upload the same on XBRL portal. The same was intimated with Stock Exchange.	The company had not submitted Annual report in XBRL Mode of the company for the year ended March 31, 2024.
4.	The Company has received Notice - Stage 1 Reminder for Payment of Annual Listing Fees for FY 2023-24 and freezing of shareholding of promoter(s) of the Company.	31.03.2024	Regulation 14 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The company had not paid Listing Fees of the company for 2024-25	The Company has paid Annual Listing Fees for the FY -2023-24.	The Company has paid Annual Listing Fees for the FY - 2023-24.
5.	All the Non-Compliances under this regulation 47 under SEBI LODR Regulations are time bound.	31.03.2024	Regulation 47 of SEBI LODR Regulations, 2015- Publication of advertisement in newspaper for Financial Results, Notice given to shareholders.	The company has not published advertisement in newspaper.	The Management of the Company assure that they will comply the Requirement of Reg. 47 of SEBI (LODR) Reg. 2015 for the upcoming period.	The Company will issue financial Results in Newspaper in compliance of Requirement of Reg. 47 of SEBI (LODR) Reg. 2015.





- a) We hereby report that, during the Review Period the compliance status of the listed entity with the following requirements:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.	YES	None
2.	Adoption and timely updation of the Policies: <ul style="list-style-type: none">All applicable policies under SEBI Regulations are adopted with the approval of Board of Directors of the listed entities.All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI.	YES	None
3.	Maintenance and disclosures on Website: <ul style="list-style-type: none">The Listed entity is maintaining a functional websiteTimely dissemination of the documents/ information under a separate section on the websiteWeb-links provided in annual corporate	YES	None





	governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website.		
4.	Disqualification of Director: None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	YES	None
5.	Details related to Subsidiaries of listed entities have Been examined w. r. t.: (a) Identification of material subsidiary companies (b) Disclosure requirement of material as well as Other subsidiaries	NA NA	None
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	YES	None
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as	YES	None





	prescribed in SEBI Regulations.		
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	YES	The Company duly obtained prior approval of Audit Committee and shareholders for related party transactions including material related party transactions, where ever deemed required/necessary.
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule-III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	YES	None
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	YES	None





11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/its promoters /directors /subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/guidelines issued thereunder except as provided under separate paragraph herein (**).	NO	Stock Exchange (BSE Ltd.) has imposed SOP fines under various regulations of SEBI (LODR) Reg. 2015 due to non-compliances and delayed submissions and Company has paid the required fines
12.	Resignation of statutory auditors from the listed entity or its material subsidiaries In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.	YES	Complied
13.	Additional Non-compliances, if any: No additional non-compliance observed for any of the SEBI regulation/circular/guidance note etc. except as reported above.	No	NA





Assumptions & Limitation scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to certify based upon examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Book of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the managements has conducted the affairs of the listed entity.

For **Nidhi Bajaj & Associates**
Company Secretary



Nidhi Bajaj
Proprietor
ACS - 28907, COP - 14596

UDIN: A028907H000532839
Peer Review Cert. No. 2458/2022

Date: 29th May, 2026
Place: Thane